FORM-PTO-1390 (Rev. 12-29-99) TRANSMITTAL LETTER TO THE UNITED STATES

U.S. DEPA

DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A FILING UNDER 35 U.S.C. 371

NT OF COMMERCE PATENT AND TRADEMARK OFFICE

RNEY'S DOCKET NUMBER

022701-895

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

09/674,274

INTERNATIONAL APPLICATION NO.
PCT/FR99/00982

INTERNATIONAL FILING DATE 26 April 1999

PRIORITY DATE CLAIMED 28 April 1998

TITLE OF INVENTION

COMPOSITION AND METHOD FOR RADICAL POLYMERISATION OF ETHYLENICALLY UNSATURATED **MONOMERS**

				DO/EO/US GUE-PEYROU				
Арр	licant	here	with s	submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
1.		This	is a F	FIRST submission of items concerning a filing under 35 U.S.C. 371.				
2.	×	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.						
3.				express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination expiration of the applicable time limit set in 35 U.S.C. 371(b) and the PCT Articles 22 and 39(1).				
4.		A pr	oper l	Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.				
5.		A co	opy of	the International Application as filed (35 U.S.C. 371(c)(2))				
f=		a.		is transmitted herewith (required only if not transmitted by the International Bureau).				
Ę	j	b.		has been transmitted by the International Bureau.				
hat of	1	c.		is not required, as the application was filed in the United States Receiving Office (RO/US)				
6.		A tr	anslat	ion of the International Application into English (35 U.S.C. 371(c)(2)).				
7		Ame	endme	ents to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))				
n,	===	a.		are transmitted herewith (required only if not transmitted by the International Bureau).				
		b.		have been transmitted by the International Bureau.				
in The	i	c.		have not been made; however, the time limit for making such amendments has NOT expired.				
	======================================	d.		have not been made and will not be made.				
8. j.		A tr	anslat	ion of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).				
9.	×	An o	oath o	or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).				
10.		A tr	anslat	cion of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).				
item	ıs 11.	to 1	6. bel	ow concern other document(s) or information included:				
11.		An I	nform	nation Disclosure Statement under 37 CFR 1.97 and 1.98.				
12.	×	An a	assign	ment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.				
13.		A FI	RST p	preliminary amendment.				
		A SI	ECON	D or SUBSEQUENT preliminary amendment.				
14.		A su	ubstitu	ute specification.				
15.		A ch	hange	of power of attorney and/or address letter.				
16.	×	Oth	er iter	ns or information:				
	Petit	ion f	or Ext	ension of Time				

01/25/2001 ERIHANDO 00000130 09674274

01 FC:154

130.00 OP

	PPLICATION NO. (If kno 374,274	nown,/ see 37 C.F.R. 1.50)	INTERNATIONAL APPLIC PCT/FR99/009			ATTOR 022	RNEY'S DOCKET NUMBER 701-895
17. 🛭	☑ The following	g fees are submitted:			CALCU	LATIONS	PTO USE ONLY
Basic I	National Fee (37 (CFR 1.492(a)(1)-(5)):	•		†		
l no	or international se	nal preliminary examination fee earch fee (37 CFR 1.445(a)(2) Search Report not prepared by	\$1,000.00 (960)				
. In U	nternational prelim ISPTO but Interna	ninary examination fee (37 CF ational Search Report prepared	R 1.482) not paid to I by the EPO or JPO	\$860.00 (970)			
In bi	nternational prelim ut international se	ninary examination fee (37 CF) earch fee (37 CFR 1.445(a)(2)	R 1.482) not paid to USPT)) paid to USPTO	O \$710.00 (958)			
!n bı	nternational prelim ut all claims did n	ninary examination fee paid to not satisfy provisions of PCT A	USPTO (37 CFR 1.482) Article 33(1)-(4)	\$690.00 (956)			
In ar	nternational prelim nd all claims satis	ninary examination fee paid to sfied provisions of PCT Article	USPTO (37 CFR 1.482) 33(1)-(4)	\$100.00 (962)			
		ENTER	APPROPRIATE BASIC	FEE AMOUNT =	\$		
Surcha months	arge of \$130.00 (s from the earlies	(154) for furnishing the oath of t claimed priority date (37 CFF	r declaration later than R 1.492(e)).	20 🗆 30 🗆	\$	130.00	
!	Claims	Number Filed	Number Extra	Rate			•
Total C	Claims	-20 =		X\$18.00 (966)	\$		
Indepe	ndent Claims	-3 =		X\$80.00 (964)	\$		
Multiple	e dependent clair	m(s) (if applicable)		+ \$270.00 (968)	\$		
		CALCULATIONS =	\$	130.00			
Reduct filed. (tion for 1/2 for fili (Note 37 CFR 1.9	ing by small entity, if applicable, 1.27, 1.28).	\$		_		
				SUBTOTAL =	\$	130.00	
Process	essing fee of \$130.00 (156) for furnishing the English translation later than this from the earliest claimed priority date (37 CFR 1.492(f)).						
			TOTAL	NATIONAL FEE =	\$	130.00	
Fee for an appr	recording the en- ropriate cover she	closed assignment (37 CFR 1. eet (37 CFR 3.28, 3.31). \$40	.21(h)). The assignment m 0.00 (581) per property +	ust be accompanied by	\$	40.00	
			TOTAL F	EES ENCLOSED =	\$	170.00	
					Amo	unt to be: refunded	\$
		W				charged	\$
a. D		he amount of \$ 170.00					
ь. L	is enclosed.	je my Deposit Account No. <u>02</u>				•	
	Account No.	sioner is hereby authorized to 02-4800. A duplicate copy of	of this sheet is enclosed.	Ω	//		
N m	IOTE: Where an a	appropriate time limit under 3 granted to restore the applicat	7 CFR 1.494 or 1.495 has tion to pending status.	not been met, a petition	10 revive	(37 CFR 1.1	137(a) or (b))
SEND .	ALL CORRESPON	NDENCE TO:					
		ANE, SWECKER & MATHIS,	L.L.P. SI	GNATURE			 .
	BURNS, DOANE, SWECKER & MATHIS, L.L.P. SIGNATE P.O. Box 1404 Alexandria Virginia 23212 1404 Teresa 9			eresa Stanek Rea			
	Alexandria, Virginia 22313-1404 <u>Teresa Stanek Re</u> (703) 836-6620 NAME						
			3(0,427			
				GISTRATION NUMBER			

09/67	1CATION NO. (If kno 4,274	own,/ see 37 C.F.R. 1.50)	INTERNATIONAL A PCT/FR9.9/0		DN NO.		ATTOF 022	RNEY'S DOCKET NUMBER
17. 🛭	The following	g fees are submitted:				CALCU	LATIONS	PTO USE ONLY
Basic National Fee (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00 (960) International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 (970) International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 (958) International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 (956) International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 (962)							02 (V) 144 /	STO UNTERED.
			APPROPRIATE BA			\$	30.00	
Surcharge months fr	e of \$130.00 (rom the earliest	154) for furnishing the oath o t claimed priority date (37 CF	r declaration later than R 1.492(e)).		20 🗆 30 🗀		20.00	
c	Claims	Number Filed	Number Extra		Rate			
Total Clai	ms	-20 =			X\$18.00 (966)	\$		
	ent Claims	-3 =			X\$80.00 (964)	\$		
Multiple d	lependent clain	n(s) (if applicable)			+ \$270.00 (968)	\$		
Paduation	. for 1/2 for 500		TOTAL OF ABOV				130.00	
filed. (No	te 37 CFR 1.9	ng by small entity, if applicab , 1.27, 1.28).	le. Verified Small Entit	ty stater	ment must also be	\$		•
					SUBTOTAL =	\$	130.00	
Processing months fr	g fee of \$130.	00 (156) for furnishing the Er claimed priority date (37 CFI	nglish translation later t R 1.492(f)).	han	20 □ 30 □ +	\$,	
			тот	AL NA	TIONAL FEE =	\$	130.00	
Fee for rea	cording the end riate cover she	closed assignment (37 CFR 1 set (37 CFR 3.28, 3.31). \$4	21(h)). The assignmen	nt muet		\$	40.00	
			ТОТА	L FEES	S ENCLOSED =	\$	170.00	
						Amo	unt to be: refunded	\$
571							charged	\$
a. ⊠ b. □	Please charge	ne amount of \$ <u>170.00</u> o my Deposit Account No. <u>02</u>				a fees A	dunlicate c	any of this shoot
c. 🛛	- The Commissioner is never dutionized to charge any agritional tees which may be required, or credit any ever comment to Decert							
NOT mus	Account No. <u>02-4800</u> . A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition must be filed and granted to restore the application to pending status.							•
SEND AL	SEND ALL CORRESPONDENCE TO:							
	Norman H. Stepno BURNS, DOANE, SWECKER & MATHIS, L.L.P. P.O. Box 1404			TURE				
	Alexandria, Virginia 22313-1404 <u>Teresa Stanek Rea</u> (703) 836-6620 NAME					-		
	,			30,42				
REGISTRATION NUMBER								,



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231

U.S. APPLICATION NO.		FIRST NAMED A	PPLICANT	ATTY, DOCKET NO.
09/674274	LARTIGUE	-PEYROU	F	022701-895
NORMAN H STEPNO		1	INTERNATIONA	L APPLICATION NO.
BURNS DOANE SWECKER & M	ATHIS		PCT/FF	199/00982
PO BOX 1404 ALEXANDRIA, VA 22313 1404			I.A. FILING DATE	
ALEXANDRIA, VA 22313 1404			26 APR 99	28 APR 98
,		ľ	DATE MAILED: 28N	U V 3000
NOTIFICATION OF N	IISSING REQUIREME	NTS UNDER 3	5 U.S.C. 371 IN TH	UV ZUUU EUNITED
STAT	ES DESIGNATED/ELE	CTED OFFICE	(DO/EO/US)	
 The following items have been su a Designated Office 	omitted by the applicant of	or the IB to the C	inited States Patent at	d Trademark Office as
an Elected Office (3	7 CFR 1.495):			.•
U.S. Basic National Fee.				
Copy of the international app			ALL VENE	SWECKER & MATHIS, LT.P
a non-English langua	ge.			BECEIVED
English.				}
Translation of the internation Oath or Declaration of invent	al application into English	l .	BFI	8 1 2000
Copy of Article 19 amendmen				C CHANN
☐ Translation of Article 19 ame	ndments into English.		ļ	•
The International Preliminary	Examination Report in E	nglish and its Ar	nexes, if any, i	12-1-10
Translation of Annexes to the	International Preliminary	Examination Ro	port into English.	
Preliminary amendment(s) fil		and		022701-895
Information Disclosure Staten Assignment document.	nent(s) filed 30 OCT	00 and _	·	Rhone Foulance
Power of Attorney and/or Cha	nge of Address			AHS/TSR
Substitute specification filed	age of realiess.			1115/15K
☐ Verified Statement Claiming S	mall Entity Status.	 '	~	2 1 1 3
Priority Document.	-		7	Declocation Du
Copy of the International Sear	ch Report 🗶 and copies o	of the references	cited therein.	12/28/00
LJ Other: 2. The following items MUST be fur	niched within the neried o	at family balancie		0 10/2/3/00/
acceptance under 35 U.S.C. 371:	msned within the period s	et loim below it	order to complete in	e requirements for
a. Translation of the application	n into English. Note a p	rocessing fee wil	l be required if submi	tted later than the
appropriate 20 or 30 months fi	om the priority date.			
	ion is defective for the	reasons indica	ted on the attached	Notice of Defective
Translation. b. Processing fee for providing	the translation of the an	dication and/or t	he Anneves later than	the appropriate 20 or
30 months from the priority da	te (37 CFR 1.492(f)).		ne Annexes later mar	the appropriate 20 of
c. Oath or declaration of the in	ventors, in compliance w	ith 37 CFR 1.49	7(a) and (b), identifyi	ng the application by
the International application nu				
The current oath or do on the attached PCT/I	claration does not comply	y with 37 CFR 1	.497(a) and (b) for the	e reasons indicated
d. Surcharge for providing the		than the appropri	ate 20 or 30 months i	rom the priority date
(37 CFR 1.492(e)).				
. Additional claim fees of \$				ed multiple dependent
laim fee, are required. Applicant mu ue. See attached PTO-875.	si sudinii ine additional cl	aun iees of canc	ei ide additional clain	is for which fees are
LL OF THE ITEMS SET FORTH ROM THE DATE OF THIS NOTI	IN 2(a)-2(d) AND 3 AB	OVE MUST BE	SUBMITTED WIT	HIN ONE MONTH
THE APPLICATION, WHICHEVE				
BANDONMENT.				
he time period set above may be exte	nded by filing a petition a	nd fee for exten	sion of time under the	provisions of 37
CFR 1.136(a).	nace of thing a position a	.10 100 101 0.11011	non or time under the	provisions of 57
m to call a Nation				****
 Translation of the Annexes MUST lote processing fee will be required if 		•		es will be cancelled.
. The Article 19 amendments are	ancelled since a translation			20 (37 CFR.
94(d)) or 30 (37 CFR 1.495(d)) month	ns from the priority date.	F	· >	•
applicant is reminded that any commun	nication to the United Stat	es Patent and Tr	ademark Office must	be mailed to the
ddress given in the heading and includ				
A copy of this notice	MUST be retur	ned with th	his response	7
nclosed:		177017 11		/ ,
PCT/DO/EO/917	☐ Notice of Defective T	ranslation	1 XX/12	$\alpha \sqrt{}$
PTO-875	205		Chartta A Bu	A Paralegal
ORM PCT/DO/EO/905 (December 1)	<i>9</i> 97)		Nelebhone: 754-30	5/3734